

CURRENT OR RECENT FINANCIAL ADVISORS/MANAGERS

If you have a series 63 and 65 (or a series 66), a clean compliance record, and provide outstanding client service, then we may have an opportunity for you. We have particular interest in three types of advisors:

1. Brokers or financial advisor/planners looking to exit the business, either to retire or pursue other interests,
2. Brokers or financial advisor/planners working primarily with mutual funds, annuities, ETF's, managers, or other types of managed money, and
3. Those considering the independent route.

If any of these three describe you, *and you feel that the traditional Wall Street firm is no longer a suitable home for you*, give us a call. We may have an alternative that suits your needs.

Other candidates that might be suited to our business would include talented sales people, wholesalers, and other investment management firms with less than \$100 million AUM.

If you would like to explore the possibilities, please call 276-634-0000 or send an email to cindi@csawm.com.